

Stock Broker Investor Complaints

Investor Complaints Data by Stock Brokers

Reference: SEBI Circular No. SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated December 02, 2021

Data for the Month Ending – March - 2024

SNo	Receieved from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution on time^ (in days)
						Pending for less than 3 Months	Pending for more than 3 Months	
1	Directly from Investors	0	0	0	0	0	0	
2	SEBI (SCORES)	0	0	0	0	0	0	
3	Stock Exchanges	0	0	0	0	0	0	
4	Other Sources (if any)	0	0	0	0	0	0	
	Grand Total	0	0	0	0	0	0	

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of Complaints

SNo	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2023	0	0	0	0
2	May 2023	0	0	0	0
3	June 2023	0	0	0	0
4	July 2023	0	0	0	0
5	Aug 2023	0	0	0	0
6	Sep 2023	0	0	0	0
7	Oct 2023	0	0	0	0
8	Nov 2023	0	0	0	0
9	Dec-2023	0	0	0	0
10	Jan-2024	0	0	0	0
11	Feb-2024	0	0	0	0
12	Mar-2024	0	0	0	0
13	Apr-2024	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints – Stock Brokers

SNo	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-2018	0	0	0	0
2	2018-2019	0	0	0	0
3	2019-2020	0	0	0	0
4	2020-2021	0	0	0	0
5	2021-2022	0	0	0	0
6	2022-2023	0	0	0	0
7	2023-2024	0	0	0	0
8	2024-2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.